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# NOTICE OF ALLOWANCE AND FEE(S) DUE

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10/19/2009

SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037 EXAMINER

NGUYEN, DANNY

ART UNIT PAPER NUMBER

2836

DATE MAILED: 10/19/2009

| APPLICATION NO. FILING DATE |            | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------------------|------------|----------------------|---------------------|------------------|--|
| 10/540,919                  | 04/24/2007 | Sung-Min Kim         | Q88281              | 3322             |  |

TITLE OF INVENTION: ELECTRIC ENERGY STORAGE DEVICE AND METHOD OF CHARGING AND DISCHARGING THE SAME

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES          | \$755         | \$300               | \$0                  | \$1055           | 01/19/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where ar in m

| appropriate. All further correspondence including the Patent, advance orders and notific indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a maintenance fee notifications.  CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  23373 7590 10/19/2009 |   |   |   | Note: A certificate of mailing can only be used for domestic mailings of t<br>Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must be accompanied to the control of the control |  |   |  |
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|   |   |   |   | ve its own certificat  | e of ma  | iling or transmission.  |  |
| SUGHRUE M<br>2100 PENNSYI<br>SUITE 800  | ION, PLLC<br>LVANIA AVENUE  | I l<br>St<br>ad<br>tra  | Centereby certify that the states Postal Service of the Mainsmitted to the USF  | rtificatenis Fee(<br>with suft<br>Stop<br>TO (57   | e of Mailing or Transı<br>(s) Transmittal is being<br>Efficient postage for firs<br>ISSUE FEE address<br>(1) 273-2885, on the da | nission deposited with the United t class mail in an envelope above, or being facsimile the indicated below.                      |  |
| WASHINGTON  | N, DC 20037   |   |   |  |  |   | (Depositor's name)   |
|   |   |   |   |  |  |   | (Signature)  |
|   |   |   | L   |  |  |   | (Date)   |
| APPLICATION NO.   | FILING DATE   |   | FIRST NAMED INVENTO   | R  | ATTC   | RNEY DOCKET NO.   | CONFIRMATION NO.   |
| 10/540,919  | 04/24/2007  |   | Sung-Min Kim  |  |  | Q88281  | 3322   |
|   |   | STORAGE DEVICE AN   | _   | _  |  |   | T DATE DATE  |
| APPLN. TYPE   | SMALL ENTITY  | ISSUE FEE DUE   | PUBLICATION FEE DUI   |  | E FEE  | TOTAL FEE(S) DUE  | DATE DUE   |
| nonprovisional  | YES   | \$755   | \$300   | \$0<br><b>¬</b>  |  | \$1055  | 01/19/2010   |
| EXAM  | I, DANNY  | ART UNIT 2836   | 361-091100  | J  |  |   |  |
| 1. Change of correspond   |   |   | 2. For printing on the  | natent front page. li  | et   |   |  |
| CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.  |   |   | or agents OR, alterna<br>(2) the name of a sin<br>registered attorney o<br>2 registered patent at<br>listed, no name will b       | e names of up to 3 registered patent attorneys ents OR, alternatively, e name of a single firm (having as a member a ered attorney or agent) and the names of up to stered patent attorneys or agents. If no name is , no name will be printed.  |  |   |  |
| PLEASE NOTE: Un   | less an assignee is ident<br>th in 37 CFR 3.11. Comp  | A TO BE PRINTED ON ified below, no assignee oletion of this form is NO  | data will appear on the   | patent. If an assign<br>n assignment.  |  |   | ocument has been filed for   |
| Please check the appropr  | riate assignee category or  | categories (will not be pr  | rinted on the patent):  | ☐ Individual ☐ C   | orporat  | ion or other private gro  | up entity Government   |
| 4a. The following fee(s)  | are submitted:  | 4   | b. Payment of Fee(s): (Pl   |  | ny prev  | viously paid issue fee s  | shown above)   |
| Issue Fee   | No small entity discount p  | permitted)  | ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.   |  |  |   |  |
|   | # of Copies   |   | The Director is here overpayment, to De   | by authorized to cha   | roe the  | required fee(s) any det   | iciency, or credit any extra copy of this form).   |
| 5. Change in Entity Sta   | ,   | · · · · · · · · · · · · · · · · · · ·   | _   |  |  |   |  |
|   | ns SMALL ENTITY state and Publication Fee (if reco  |   | b. Applicant is no lo   |  |  |   | e assignee or other party in   |
| interest as shown by the  | records of the United Sta   | tes Patent and Trademark  | Office.   |  |  |   |  |
| Authorized Signature  |   |   |   | Date   |  |   |  |
| Typed or printed name   |   |   |   | _  |  |   |  |
| This collection of inform<br>an application. Confiden<br>submitting the complete<br>this form and/or suggest<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223   | itiality is governed by 35 d application form to the ions for reducing this bu. Virginia 22313-1450. DC | FR 1.311. The informati<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to th<br>O NOT SEND FEES OR | on is required to obtain o<br>1.14. This collection is o<br>depending upon the inc<br>e Chief Information Offi<br>COMPLETED FORMS | r retain a benefit by<br>estimated to take 12<br>ividual case. Any c<br>cer, U.S. Patent and<br>TO THIS ADDRES   | the pub<br>minute:<br>ommen<br>Trader<br>S. SEN  | lic which is to file (and<br>s to complete, includin<br>ts on the amount of tin<br>mark Office, U.S. Depa<br>D TO: Commissioner f | by the USPTO to process) g gathering, preparing, and ne you require to complete rtment of Commerce, P.O. for Patents, P.O. Box 1450, |

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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| 10/540,919                 | 04/24/2007       | Sung-Min Kim         | Q88281                         | 3322             |
| 23373 75                   | 590 10/19/2009   |                      | EXAM                           | INER             |
| SUGHRUE MIO                | N, PLLC          | NGUYEN               | , DANNY                        |                  |
|                            | ANIA AVENUE, N.W | ART UNIT             | PAPER NUMBER                   |                  |
| SUITE 800<br>WASHINGTON, I | OC 20037         |                      | 2836<br>DATE MAILED: 10/19/200 | 9                |

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 206 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 206 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

|  | Application No.   | Applicant(s)  |                  |
|--|---|---|------------------|
|  | 10/540,919  | KIM ET AL.  |                  |
| Notice of Allowability   | Examiner  | Art Unit  |                  |
|  | DANNY NGUVEN  | 2836  |                  |
|  | DANNY NGUYEN  | 2836  |                  |
| The MAILING DATE of this communication app<br>All claims being allowable, PROSECUTION ON THE MERITS IS<br>herewith (or previously mailed), a Notice of Allowance (PTOL-85<br>NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT F<br>of the Office or upon petition by the applicant. See 37 CFR 1.31 | S (OR REMAINS) CLOSED in<br>b) or other appropriate comm<br>RIGHTS. This application is | n this application. If not included unication will be mailed in due cou | rse. <b>THIS</b> |
| 1. This communication is responsive to <u>10/2/2009</u> .  |   |   |                  |
| 2. X The allowed claim(s) is/are 1,3,6-9,11 and 14-16.   |   |   |                  |
| <ul> <li>3.  Acknowledgment is made of a claim for foreign priority t</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> </ul>  |   | or (f).   |                  |
| 2. Certified copies of the priority documents hav  | e been received in Application  | on No   |                  |
| 3. Copies of the certified copies of the priority do   | ocuments have been receive  | d in this national stage application                                    | from the         |
| International Bureau (PCT Rule 17.2(a)).   |   |   |                  |
| * Certified copies not received:   |   |   |                  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDON! THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  |   | e a reply complying with the require                                    | ements           |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subr<br>INFORMAL PATENT APPLICATION (PTO-152) which give   |   |   | CE OF            |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mu  | ıst be submitted.   |   |                  |
| (a) ☐ including changes required by the Notice of Draftsper  | son's Patent Drawing Review   | w ( PTO-948) attached   |                  |
| 1) ☐ hereto or 2) ☐ to Paper No./Mail Date   | _,  |   |                  |
| <ul><li>(b) ☐ including changes required by the attached Examiner<br/>Paper No./Mail Date</li></ul>  | 's Amendment / Comment o  | r in the Office action of   |                  |
| Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in   |   |   | k) of            |
| <ol> <li>DEPOSIT OF and/or INFORMATION about the depo-<br/>attached Examiner's comment regarding REQUIREMENT</li> </ol>  |   |   | the              |
|  |   |   |                  |
| Attachment(s)  | 5 □ Notice of the   | Consol Defeat Application   |                  |
| 1. Notice of References Cited (PTO-892)  |   | formal Patent Application   |                  |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948)   | Paper No.   | ummary (PTO-413),<br>/Mail Date   |                  |
| <ol> <li>Information Disclosure Statements (PTO/SB/08),<br/>Paper No./Mail Date</li> </ol>   | /. ⊠ Examiner's   | Amendment/Comment   |                  |
| 4. Examiner's Comment Regarding Requirement for Deposit of Biological Material   | 8. 🛛 Examiner's   | Statement of Reasons for Allowar  | nce              |
| ····· <b>·</b>   | 9. 🔲 Other  |   |                  |
| /Danny Nguyen/   |   |   |                  |
| Primary Examiner, Art Unit 2836  |   |   |                  |
|  |   |   |                  |